

**PP - Non-Joiner:**

## PENSION PROVIDER INFORMATION REQUEST

Dear Sirs,

**Pension Name:** .....

**Pension Reference:** .....

**FSCS Reference:** .....

I am making a claim for compensation to the Financial Services Compensation Scheme. So that I may submit the claim I need certain information. Please can you send me the following:

1. Confirmation of the original selling agent, their address and their SIB/FSA/FCA number.
2. Confirmation of any additional selling agents that arranged investments held within the pension (if applicable).
3. A copy of the original application form.
4. A copy of the application form for any investment(s) held within the pension (if applicable)
5. A full transaction history from inception to present showing all transfers in and out, contributions, investments and disinvestments, charges, income payments and distributions, withdrawals and in-specie transfers.
6. The current fund and transfer values, and details of any penalty that would apply upon transfer.
7. A schedule showing the assets currently held along with their value (and where applicable, the unit-holding) of each.
8. Details of any administration fees or charges applicable upon transfer.
9. If the plan has been transferred to another provider, please provide details of the value and date of the transfer, as well as the name of the receiving provider (if any assets were transferred in-specie, please provide details).

**Please take this as my authority to release any additional information about my pension to the Financial Services Compensation Scheme at a future date.**

I look forward to hearing from you as soon as possible.

Yours faithfully

Name(s): .....

Address(s): .....

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Signature(s): .....

Date:



## ANNUITY PROVIDER INFORMATION REQUEST

Dear Sirs,

**Annuity Reference:** .....

**FSCS Reference:** .....

I am making a claim for compensation to the Financial Services Compensation Scheme. So that I may submit the claim I need certain information. Please can you send me the following:

1. Confirmation of the original selling agent, their address and their SIB/FSA/FCA number.
2. A copy of the original application form.
3. A copy of the annuity policy schedule.
4. A copy of the annuity illustration or quote provided prior to the sale.

**Please take this as my authority to release any additional information about my pension to the Financial Services Compensation Scheme at a future date.**

I look forward to hearing from you as soon as possible.

Yours faithfully

*Name(s):* .....

*Address(s):* .....  
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*Signature(s):* .....

*Date:* .....



# OCCUPATIONAL PENSION SCHEME INFORMATION REQUEST

Dear Sirs,

**Pension Scheme Name:** .....

**Date of advice to not join the scheme:** .....

**FSCS Reference:** .....

I am making a claim for compensation to the Financial Services Compensation Scheme. So that I may submit the claim I need certain information. Please can you send me the following:

1. A copy of the scheme booklet applicable at the date recorded above.
2. A copy of the current scheme booklet.
3. Supplemental scheme information such as history of discretionary increases awarded in the last 5 years for both in deferment and in payment pensions (if any) and the scheme equalisation date.

**Please take this as my authority to release any additional information about my pension to the Financial Services Compensation Scheme at a future date.**

I look forward to hearing from you as soon as possible.

Yours faithfully

*Name(s):* .....

*Address(s):* .....  
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.....

*Signature(s):* .....

*Date:* .....



# ORIGINAL PERSONAL PENSION INFORMATION REQUEST

(WHERE ADVISED TO TRANSFER, SWITCH OR CASH IN AN EXISTING PENSION)

Dear Sirs,

**Pension Name:** .....

**Pension Reference:** .....

**FSCS Reference:** .....

I am making a claim for compensation to the Financial Services Compensation Scheme. So that I may submit the claim I need certain information. Please can you send me the following:

1. Confirmation of the type of pension.
2. Details of the value and date of the transfer payment, as well as the name of the receiving provider (if any assets were transferred in-specie, please provide details).
3. Details of any guaranteed benefits if applicable.

**Please take this as my authority to release any additional information about my pension to the Financial Services Compensation Scheme at a future date.**

I look forward to hearing from you as soon as possible.

Yours faithfully

*Name(s):* .....

*Address(s):* .....

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*Signature(s):* .....

*Date:* .....

**FSAVC:**

## PENSION PROVIDER INFORMATION REQUEST

Dear Sirs,

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**Pension Reference:** .....

**FSCS Reference:** .....

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1. Confirmation of the original selling agent, their address and their SIB/FSA/FCA number.
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3. A copy of the original application form.
4. A copy of the application form for any investment(s) held within the pension (if applicable)
5. A full transaction history from inception to present showing all transfers in and out, contributions, investments and disinvestments, charges, income payments and distributions, withdrawals and in-specie transfers.
6. The current fund and transfer values, and details of any penalty that would apply upon transfer.
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9. If the plan has been transferred to another provider, please provide details of the value and date of the transfer, as well as the name of the receiving provider (if any assets were transferred in-specie, please provide details).

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Yours faithfully

*Name(s):* .....

*Address(s):* .....  
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*Signature(s):* .....

*Date:*

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1. Confirmation of the original selling agent, their address and their SIB/FSA/FCA number.
2. A copy of the original application form.
3. A copy of the annuity policy schedule.
4. A copy of the annuity illustration or quote provided prior to the sale.

**Please take this as my authority to release any additional information about my pension to the Financial Services Compensation Scheme at a future date.**

I look forward to hearing from you as soon as possible.

Yours faithfully

*Name(s):* .....

*Address(s):* .....  
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*Signature(s):* .....

*Date:* .....



# OCCUPATIONAL PENSION SCHEME INFORMATION REQUEST

Dear Sirs,

**Pension Scheme Name:** .....

**Reference or Account Number:** .....

**FSCS Reference:** .....

I am making a claim for compensation to the Financial Services Compensation Scheme. So that I may submit the claim I need certain information. Please can you send me the following:

1. A copy of the Deferred Benefit Statement at date of leaving, if applicable.
2. A copy of the scheme booklet applicable for the date of leaving of this scheme member.
3. A copy of the current scheme booklet.
4. Supplemental scheme information such as history of discretionary increases awarded in the last 5 years for both in deferment and in payment pensions (if any) and the scheme equalisation date.
5. Confirmation of the date and amount of any transfer value paid.
6. Please confirm if any in-house AVCs or other pension benefits from transferred-in schemes for example were included in any transfer amount paid.

**Please take this as my authority to release any additional information about my pension to the Financial Services Compensation Scheme at a future date.**

I look forward to hearing from you as soon as possible.

Yours faithfully

*Name(s):* .....

*Address(s):* .....  
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(WHERE ADVISED TO TRANSFER, SWITCH OR CASH IN AN EXISTING PENSION)

Dear Sirs,

**Pension Name:** .....

**Pension Reference:** .....

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2. Details of the value and date of the transfer payment, as well as the name of the receiving provider (if any assets were transferred in-specie, please provide details).
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Yours faithfully

*Name(s):* .....

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*Signature(s):* .....

*Date:* .....

## FSAVC PROVIDER INFORMATION REQUEST

Dear Sirs,

**FSAVC Reference Number:** .....

**FSCS Reference:** .....

I am making a claim for compensation to the Financial Services Compensation Scheme. So that I may submit the claim I need certain information. Please can you send me the following:

1. Confirmation of the original selling agent, their address and their SIB/FSA/FCA reference number.
2. A copy of the application form and policy schedule.
3. A full transaction history from inception to present showing all transfers in and out, contributions, investments and disinvestments, charges, income payments and distributions, withdrawals and in-specie transfers.
4. The current fund and transfer values, and details of any penalty that would apply upon transfer.
5. Please provide details of the historical charges applied to the plan and fund since inception and expected future charges, broken down by annual management charge, policy/administration fees, bid/offer spread and allocation rate.
6. If the plan has been transferred to another provider, please provide details of the value and date of the transfer, as well as the name of the receiving provider (if any assets were transferred in-specie, please provide details).

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*Date:*

**Other Pension type:**

## PENSION PROVIDER INFORMATION REQUEST

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